## Section 1 – General Information

<table>
<thead>
<tr>
<th>RFR Number: (Reference BPO Number)</th>
<th>J01B3400050</th>
</tr>
</thead>
<tbody>
<tr>
<td>Functional Area (Enter One Only)</td>
<td>Functional Area 11 – Business Process Consulting Services</td>
</tr>
</tbody>
</table>

**Labor Category/s**

A single support staff or support groups of up to five members may be engaged for up to six months without renewal options. Awards for Major IT Development Project (MITDP)/Program Manager/Deputy PMs may have tenure of one base year with up to two optional years, or through the end of the project within the Master Contract term. An RFR is limited to only labor categories defined in the CATS+ RFP.

**Enter the labor category/s to be provided:**

1. **Business Process Consultant (Senior)**

<table>
<thead>
<tr>
<th>Anticipated Start Date</th>
<th>Within 15 business days of Notice to Proceed (NTP).</th>
</tr>
</thead>
<tbody>
<tr>
<td>Duration of Assignment</td>
<td>Not to exceed six (6) months.</td>
</tr>
<tr>
<td>Designated Small Business Reserve? (SBR): (Enter “Yes” or “No”)</td>
<td>YES</td>
</tr>
</tbody>
</table>

**MBE Goal, if applicable**

<table>
<thead>
<tr>
<th>MBE Goal, if applicable</th>
<th>0%</th>
</tr>
</thead>
</table>

<table>
<thead>
<tr>
<th>Issue Date: mm/dd/yyyy</th>
<th>August 12, 2015</th>
<th>Due Date: mm/dd/yyyy</th>
<th>Friday September 11, 2015</th>
</tr>
</thead>
<tbody>
<tr>
<td>Pre-Proposal Date: Thursday, August 20, 2015 @10:00 a.m. in the 4th Floor Board Room</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Place of Performance: Maryland Department of Transportation 7201 Corporate Center Drive Hanover, MD 21076</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**Special Instructions:** (e.g. interview information, attachments, etc.)

In-person interviews will be conducted by a panel using a standardized set of interview questions for all candidates. Telephonic interviews will not be considered.

A completed Attachment 4 Non-Disclosure Agreement, Exhibit A and Attachment 6 Criminal Background Check Affidavit must be submitted prior to commencement of work.

**Security Requirements (if applicable):**

1. Contract personnel must provide their own laptop computer. That computer must be up-to-date with all Microsoft patches, have current anti-virus protection, and will be scanned by MDOT IT prior to plugging into the MDOT network. The MS Office software must be no older than two versions from the current MS release.
2. A security badge and a background check will be required.

Special Invoicing Instructions:

1. Invoices will be based on hours worked, supported by status reports showing date/time/activity of all chargeable hours.
2. Invoices will be sent to:
   Maryland Department of Transportation
   Office of Transportation Technology Services (OTTS)
   One Orchard Road
   Glen Burnie, MD 21060
   lfloyd1@mdot.state.md.us
   410.768.7684 (Office)
   410.768.3057 (Fax)

Section 2 – Agency Procurement Officer (PO) Information

Agency / Division Name: Maryland Department of Transportation, Office of Minority Business Enterprise

Agency PO Name: Joseph Palechek
Agency PO Phone Number: 410-865-1129

Agency PO Email Address: jpalechek@mdot.state.md.us
Agency PO Fax: 410-865-1388

Agency PO Mailing Address: Maryland Department of Transportation (MDOT)
7201 Corporate Center Drive, Hanover, Maryland 21076

Section 3 – Scope of Work

Agency / Project Background

In 1978, Maryland’s General Assembly enacted legislation creating the Minority Business Enterprise (MBE) Program to ensure that socially and economically-disadvantaged small business owners are included in the State’s procurement and contracting opportunities. Current MBE regulations direct 70 participating State agencies to make every effort to award an overall minimum goal of 29% of the total dollar value of their procurement contracts directly (prime contractors) or indirectly (subcontractors) to certified MBE firms during fiscal years 2014 and 2015.

The 70 state agencies and departments participating in the MBE Program examine their respective contracts/purchase orders and set specific minority participation goals on a contract-by-contract basis. Procedures are followed to assure that an award of a contract is not made until a prime contractor has met the established MBE goal(s) by subcontracting with a certified small, minority- or women-owned firm(s), or has demonstrated a good faith effort to meet those goal(s).

After a contract has been awarded, MBE participation is closely monitored by key personnel within the agency that issued the contract. Monitoring includes a review of the subcontract financial transactions and visits to the job-site to verify actual work being performed by the MBE firm. Each agency staffs an MBE Liaison whose role includes serving as an advocate for MBEs actively working on contracts within that agency.

Administration of the MBE Program lies with the Governor’s Office of Minority Affairs (GOMA). GOMA services in an advisory capacity to the Governor on key MBE issues and works directly with State agencies regarding reporting and compliance. GOMA is also the primary advocate for certified MBEs and the small, minority- and women-owned business community at large.

Maryland’s MBE Program is well-recognized as a national model for minority inclusion in State procurement and contracting. The certification process is structured to ensure that only those businesses meeting all the requirements set forth under State law may participate in the program. The Office of Minority Business Enterprise (OMBE), a division of the
Maryland Department of Transportation (MDOT), serves as Maryland’s official certification agency.

The objective of this RFR is to acquire the services of a staff person who will work with the OMBE staff to analyze business processes at the OMBE in order to determine how different business functions of the organization work together in the most effective way. The intent is to conduct a holistic study of the program that includes research of all Maryland law, as well as other State MBE programs. The outcome will be a report that recommends modernized procedures and practices for OMBE to efficiently operate their department, complying with all legal requirements and providing a means of secure organized record keeping. Included in the report will be a comprehensive listing of functional and non-functional requirements that represents the to-be processes for MBE. At a minimum, this report will comply with Maryland’s SDLC.

### Job Description/s

<table>
<thead>
<tr>
<th>Labor Category/s (From Section 1 Above)</th>
<th>Duties / Responsibilities</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. Business Process Consultant (Senior)</td>
<td>Develop a comprehensive understanding of the OMBE business processes, Maryland law, and other State MBE programs; Collect applicable information and business requirements from the OMBE; Develop business requirements and business process reengineering methodologies; Elicit information and requirements using interviews, document analysis, requirements workshops, site visits, business process descriptions, use cases, scenarios, business analysis, task and workflow analysis; Analyze the existing program, Maryland legislation and policy, and other State programs to recommend a reengineered program that is compliant and operationally efficient; Analyze recent audit findings and recommend solutions; Analyze the operational, technical and economic risks of reengineering efforts; Develop a change management plan that maps the existing program to the reengineered program.</td>
</tr>
</tbody>
</table>

The TO Contractor’s Business Process Consultant (Senior) will be under supervision of the OTTS Sr. Business Process Analyst, and/or may work independently. His/her working hours shall be 8:00 AM until 4:30 PM, Monday through Friday, with the exception of State holidays and mandated service reduction days. Required attendance at meetings may require a start earlier than 8:00 AM or later than 4:30 PM. Advance notice will be provided.

During the course of the contract resulting from this RFR, communications of all types (written, verbal and informal and formal) and interactions within or external to MDOT shall be conducted with the utmost discretion. Based on the confidentiality inherent with the types of work assignments this RFR requires that the TO Contractor acknowledge its understanding of this requirement and its agreement that should a breach of confidentiality occur either directly or indirectly, it is the TO Contractor’s responsibility to inform the Procurement Officer as soon as it becomes aware of the breach. Based on severity and circumstances, the breach may be addressed through the pursuance of contractual remedies up to and including termination of the contract.

The TO Contractor and resources shall be precluded from development, implementation, or hosting projects for MDOT that result from the TO Contractor’s activities under this RFR. The TO Contractor shall be precluded as both prime and as a subcontractor.

In addition, certain other opportunities may result in a conflict of interest, and it shall be the responsibility of the TO Contractor to assure that no member of its staff engages in additional business development activities related to MDOT without first consulting with the agency and obtaining a formal opinion so as to avoid any potential conflict of interest. Should the TO Project Manager learn that the resource has failed these guidelines, the resource shall be immediately dismissed from the engagement.
CATS+ Request for Resume (RFR) J01B3400050  
Office of Minority Business Enterprises Business Analysis  
All Master Contract Provisions Apply

### Minimum Qualifications
For minimum qualifications, see the labor category description in the CATS+ RFP for the subject RFR labor category. In addition, qualified candidates must meet the minimum qualifications specified below. Candidates that do not meet minimum qualifications will be deemed not reasonably susceptible for award and will not progress to full evaluation.

<table>
<thead>
<tr>
<th>Labor Category/s (From Section 1 Above)</th>
<th>Minimum Qualifications</th>
</tr>
</thead>
</table>
| 1. Business Process Consultant (Senior) | a. **Education:** Bachelor’s Degree from an accredited college or university in Business, Human Resources Management, or a related field. An MBA or MPA is preferred.  
b. **General Experience:** At least eight (8) years of experience in business process reengineering.  
c. **Specialized Experience:** At least five (5) years of experience in reengineering large-scale business processes. **Demonstrated knowledge of Minority Business law.** |

### Preferred Qualifications
The additional Experience/Knowledge/Skills listed below are preferred by the State.

<table>
<thead>
<tr>
<th>Labor Category/s (Senior)</th>
<th>Minimum Qualifications</th>
</tr>
</thead>
</table>
| 1. Business Process Consultant (Senior) | a. **Education:** A Bachelor's Degree from an accredited college or university with a major in Computer Science, Information Systems, Engineering, Business, or other related scientific or technical discipline. Master’s Degree or project management certification is preferred.  
b. **General Experience:** At least ten (10) years of experience. Applies and develops process improvement and reengineering methodologies and principles to conduct process modernization contracts. Responsible for effective transitioning of existing contract teams and the facilitation of project teams in the accomplishment of project activities and objectives. Provides group facilitation, interviewing, training, and provides additional forms of knowledge transfer. Key coordinator between multiple project teams to ensure enterprise-wide integration of reengineering efforts. Demonstrates excellent writing and oral communications skills. Proficient with Microsoft Project.  
c. **Specialized Experience:** At least eight (8) years of experience. Methodology development and evaluation, process reengineering across all phases, identifying best practices, change management, business management techniques, organizational development, activity and data modeling, or information system development methods and practices. Provides daily supervision and direction to Business Process Reengineering Specialists. Demonstrates a leadership role in at least three successful projects that were delivered on time and on budget. Demonstrated knowledge of Minority Business law. |
NOTE:
- Master Contractors may propose only one candidate for position requested.
- Master Contractors electing not to propose in response to the RFR must submit a “Master Contractor Feedback Form” via the “Master Contractor Login” on the CATS+ web site.
- Master Contractors proposing in response to the RFR must submit the documents below as separate files contained in two separate emails as follows:

Email 1 of 2 with “Technical”: Master Contractor Name, RFR number, & candidate name in the subject line
1. Resume for each labor category described in the RFR (Attachment 1)
2. Three (3) current references that can be contacted for performance verification of the submitted resources’ work experience and skills. Telephone number and email address of reference is needed.
3. Attachment 3 Conflict of Interest Affidavit
4. Attachment 5 Living Wage Affidavit
5. Attachment 9 – Small Business Contract Affidavit

Email 2 of 2 with “Financial”: Master Contractor Name, RFR number, & candidate name in the subject line
6. Price Proposal (Attachment 2) – with password encryption.

Documents 1, 2, and 3 below shall be submitted as part of the technical proposal.

1. Professional Certifications (if applicable)
2. Provide a Sample Business Requirements document describing scope for system automation from a similar project the resource has prepared
3. Provide a Sample Business Analysis or Re-engineering effort with process flow diagrams from a similar project the resource has prepared.

Section 5 – Evaluation Criteria
Candidates meeting the Minimum Qualifications listed in Section 3 above will be evaluated for overall best value, as follows:

1. Specific work experience and relevant technical expertise as defined by the resume and candidate interview (minimum qualifications are listed Section 3 of the RFR).
2. Knowledge, skills, and training as defined by the resume and candidate interview.
4. Required submissions (See Section 4).
5. References.

Basis for Award Recommendation
The Agency PO will recommend award to the Master Contractor whose proposal is determined to be the most advantageous to the State, considering price and the Technical evaluation factors set forth in the RFR. The Agency PO will initiate and deliver a Task Order Agreement to the selected Master Contractor. Master Contractors should be aware that if selected, State law regarding conflict of interest may prevent future participation in procurements related to the RFR Scope of Work, depending upon specific circumstances.
CATS+ Request for Resume (RFR) J01B3400050
Office of Minority Business Enterprises Business Analysis

ATTACHMENT 1
RFR RESUME FORM
RFR J01B3400050

Instructions: Enter resume information in the fields below; do not submit other resume formats. Submit only one resume per Labor Category described in Section 1 of the RFR. If the RFR requests multiple Labor Categories, use a separate resume form for each category.

<table>
<thead>
<tr>
<th>Labor Category:</th>
<th>Business Process Consultant (Senior)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Candidate Name:</td>
<td></td>
</tr>
<tr>
<td>Master Contractor:</td>
<td></td>
</tr>
</tbody>
</table>

A. Education / Training

<table>
<thead>
<tr>
<th>Institution Name / City / State</th>
<th>Degree / Certification</th>
<th>Year Completed</th>
<th>Field Of Study</th>
</tr>
</thead>
<tbody>
<tr>
<td>&lt;add lines as needed&gt;</td>
<td></td>
<td></td>
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</tbody>
</table>

B. Relevant Work Experience

Describe work experience relevant to the Duties / Responsibilities and Minimum Experience / Knowledge / Skill described in Section 3 of the RFR. Starts with the most recent experience first; do not include non-relevant experience.

<table>
<thead>
<tr>
<th>[Organization]</th>
<th>Description of Work...</th>
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</thead>
<tbody>
<tr>
<td>[Title / Role]</td>
<td></td>
</tr>
<tr>
<td>[Period of Employment / Work]</td>
<td></td>
</tr>
<tr>
<td>[Location]</td>
<td></td>
</tr>
<tr>
<td>[Contact Person (Optional if current employer)]</td>
<td></td>
</tr>
<tr>
<td>[Organization]</td>
<td>Description of Work...</td>
</tr>
<tr>
<td>[Title / Role]</td>
<td></td>
</tr>
<tr>
<td>[Period of Employment / Work]</td>
<td></td>
</tr>
<tr>
<td>[Location]</td>
<td></td>
</tr>
<tr>
<td>&lt;add lines as needed&gt;</td>
<td></td>
</tr>
</tbody>
</table>

C. Employment History

List employment history, starting with the most recent employment first

<table>
<thead>
<tr>
<th>Start and End Dates</th>
<th>Job Title or Position</th>
<th>Organization Name</th>
<th>Reason for Leaving</th>
</tr>
</thead>
<tbody>
<tr>
<td>&lt;add lines as needed&gt;</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

D. References

List persons the State may contact as employment references

<table>
<thead>
<tr>
<th>Reference Name</th>
<th>Job Title or Position</th>
<th>Organization Name</th>
<th>Telephone / Email</th>
</tr>
</thead>
<tbody>
<tr>
<td>&lt;add lines as needed&gt;</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
**LABOR CATEGORY PERSONNEL RESUME SUMMARY**

(ATTACHMENT 1 CONTINUED)

**“Candidate Relevant Experience” section must be filled out. Do not enter “see resume” as a response.**

<table>
<thead>
<tr>
<th>Proposed Individual’s Name/Company:</th>
<th>How does the proposed individual meet each requirement?</th>
</tr>
</thead>
</table>

**LABOR CATEGORY TITLE – Business Process Consultant (Senior)**

<table>
<thead>
<tr>
<th>Requirement</th>
<th>Candidate Relevant Experience *</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Education:</strong></td>
<td>Education:</td>
</tr>
<tr>
<td>1. Bachelor’s Degree from an accredited college or university in Business, Human Resources Management, or a related field. An MBA or MPA is preferred.</td>
<td></td>
</tr>
<tr>
<td><strong>General Experience:</strong> At least eight (8) years of experience in business process reengineering.</td>
<td>General Experience:</td>
</tr>
<tr>
<td><strong>Specialized Experience:</strong> At least five (5) years of experience in reengineering large-scale business processes. Demonstrated knowledge of Minority Business law.</td>
<td>Specialized Experience:</td>
</tr>
<tr>
<td><strong>Preferred Experience/Qualifications:</strong></td>
<td></td>
</tr>
<tr>
<td>1. Project Management certification is preferred.</td>
<td></td>
</tr>
<tr>
<td>2. At least ten (10) years of experience in applying and developing process improvement and reengineering methodologies and principles to conduct process modernization contracts.</td>
<td></td>
</tr>
<tr>
<td>3. At least eight (8) years of experience in Methodology development and evaluation, process reengineering across all phases, identifying best practices, change management, business management techniques, organizational development, activity and data modeling, or information system development methods and practices.</td>
<td></td>
</tr>
</tbody>
</table>

The information provided on this form for this labor category is true and correct to the best of my knowledge:

**Master Contractor Representative:**

______________________________      _______________________________        _______________
Print Name      Signature   Date

**Proposed Individual:**

______________________________      _______________________________
Signature      Date

*Instruction: Sign each form.*
### ATTACHMENT 2

**PRICE PROPOSAL**

RFR # J01B3400050

(This form is to be filled out by Master Contractors - Submit with the Financial Response)

<table>
<thead>
<tr>
<th>Business Process Consultant (Senior)</th>
<th>A</th>
<th>C</th>
<th>D</th>
</tr>
</thead>
<tbody>
<tr>
<td><em>(Enter the proposed resource name)</em></td>
<td>$</td>
<td>1000</td>
<td>$</td>
</tr>
</tbody>
</table>

**Authorized Individual Name**

**Company Name**

**Title**

**Company Tax ID #**

**Signature**

**Date**

The Hourly Labor Rate cannot exceed the Master Contract rate, but may be lower. Proposed rates must be fully loaded, all inclusive, and shall include all direct and indirect costs for the Master Contractor to perform under the TOA. Evaluation Hours are for evaluation purposes only and do not represent actual hours to be worked or invoiced. **Evaluation Hours are for evaluation purposes only and do not represent actual hours to be worked or invoiced. All rates proposed in this attachment are irrevocable for 120 days.**
ATTACHMENT 3 -
CONFLICT OF INTEREST AFFIDAVIT AND DISCLOSURE

A. "Conflict of interest" means that because of other activities or relationships with other persons, a person is unable or potentially unable to render impartial assistance or advice to the State, or the person's objectivity in performing the contract work is or might be otherwise impaired, or a person has an unfair competitive advantage.

B. "Person" has the meaning stated in COMAR 21.01.02.01B (64) and includes a bidder, offeror, contractor, consultant, or subcontractor or sub consultant at any tier, and also includes an employee or agent of any of them if the employee or agent has or will have the authority to control or supervise all or a portion of the work for which a bid or offer is made.

C. The bidder or offeror warrants that, except as disclosed in §D, below, there are no relevant facts or circumstances now giving rise or which could, in the future, give rise to a conflict of interest.

D. The following facts or circumstances give rise or could in the future give rise to a conflict of interest (explain detail—attach additional sheets if necessary):

E. The bidder or offeror agrees that if an actual or potential conflict of interest arises after the date of this affidavit, the bidder or offeror shall immediately make a full disclosure in writing to the procurement officer of all relevant facts and circumstances. This disclosure shall include a description of actions which the bidder or offeror has taken and proposes to take to avoid, mitigate, or neutralize the actual or potential conflict of interest. If the contract has been awarded and performance of the contract has begun, the contractor shall continue performance until notified by the procurement officer of any contrary action to be taken.

I DO SOLEMNLY DECLARE AND AFFIRM UNDER THE PENALTIES OF PERJURY THAT THE CONTENTS OF THIS AFFIDAVIT ARE TRUE AND CORRECT TO THE BEST OF MY KNOWLEDGE, INFORMATION, AND BELIEF.

Date: ____________________  By: ______________________________________
(Authorized Representative and Affiant)
ATTACHMENT 4 - NON-DISCLOSURE AGREEMENT (TO CONTRACTOR)

THIS NON-DISCLOSURE AGREEMENT ("Agreement") is made as of this ___ day of _____________, 20___, by and between the State of Maryland ("the State"), acting by and through its Maryland Department of Transportation (MDOT), Office of Minority Business Enterprise (OMBE), (the "Department or Agency"), and ________________ ("TO Contractor"), a corporation with its principal business office located at __________________________ and its principal office in Maryland located at __________________________.

RECITALS

WHEREAS, the TO Contractor has been awarded a Task Order Agreement (the "TO Agreement") for Office of Minority Business Enterprise Business Analysis TORFP No. J01B3400050 dated ______________, (the "TORFP") issued under the Consulting and Technical Services procurement issued by the Department or Agency, Project Number 060B2490023; and

WHEREAS, in order for the TO Contractor to perform the work required under the TO Agreement, it will be necessary for the State to provide the TO Contractor and the TO Contractor’s employees and agents (collectively the "TO Contractor’s Personnel") with access to certain confidential information regarding this project (the "Confidential Information").

NOW, THEREFORE, in consideration of being given access to the Confidential Information in connection with the TORFP and the TO Agreement, and for other good and valuable consideration, the receipt and sufficiency of which the parties acknowledge, the parties do hereby agree as follows:

1. Regardless of the form, format, or media on or in which the Confidential Information is provided and regardless of whether any such Confidential Information is marked as such, Confidential Information means (1) any and all information provided by or made available by the State to the TO Contractor in connection with the TO Agreement and (2) any and all personally identifiable information (PII) (including but not limited to personal information as defined in Md. Ann. Code, State Govt. § 10-1301) and protected health information (PHI) that is provided by a person or entity to the TO Contractor in connection with this TO Agreement. Confidential Information includes, by way of example only, information that the TO Contractor views, takes notes from, copies (if the State agrees in writing to permit copying), possesses or is otherwise provided access to and use of by the State in relation to the TO Agreement.

2. TO Contractor shall not, without the State’s prior written consent, copy, disclose, publish, release, transfer, disseminate, use, or allow access for any purpose or in any form, any Confidential Information except for the sole and exclusive purpose of performing under the TO Agreement. TO Contractor shall limit access to the Confidential Information to the TO Contractor’s Personnel who have a demonstrable need to know such Confidential Information in order to perform under the TO Agreement and who have agreed in writing to be bound by the disclosure and use limitations pertaining to the Confidential Information. The names of the TO Contractor’s Personnel are attached hereto and made a part hereof as Exhibit A. Each individual whose name appears on Exhibit A shall execute a copy of this Agreement and thereby be subject to the terms and conditions of this Agreement to the same extent as the TO Contractor. TO Contractor shall update Exhibit A by adding additional names as needed, from time to time.

3. If the TO Contractor intends to disseminate any portion of the Confidential Information to non-employee agents who are assisting in the TO Contractor’s performance of the TORFP or who will otherwise have a role in performing any aspect of the TORFP, the TO Contractor shall first obtain the written consent of the State to any such dissemination. The State may grant, deny, or condition any such consent, as it may deem appropriate in its sole and absolute subjective discretion.

4. TO Contractor hereby agrees to hold the Confidential Information in trust and in strictest confidence, to adopt or establish operating procedures and physical security measures, and to take all other measures necessary to protect the Confidential Information from inadvertent release or disclosure to unauthorized third parties and to prevent all or any portion of the Confidential Information from falling into the public domain or into the possession of persons not bound to maintain the confidentiality of the Confidential Information.
5. TO Contractor shall promptly advise the State in writing if it learns of any unauthorized use, misappropriation, or disclosure of the Confidential Information by any of the TO Contractor’s Personnel or the TO Contractor’s former Personnel. TO Contractor shall, at its own expense, cooperate with the State in seeking injunctive or other equitable relief against any such person(s).

6. TO Contractor shall, at its own expense, return to the Department or Agency, all Confidential Information in its care, custody, control or possession upon request of the Department or Agency or on termination of the TO Agreement.

7. A breach of this Agreement by the TO Contractor or by the TO Contractor’s Personnel shall constitute a breach of the Master Contract Agreement between the TO Contractor and the State.

8. TO Contractor acknowledges that any failure by the TO Contractor or the TO Contractor’s Personnel to abide by the terms and conditions of use of the Confidential Information may cause irreparable harm to the State and that monetary damages may be inadequate to compensate the State for such breach. Accordingly, the TO Contractor agrees that the State may obtain an injunction to prevent the disclosure, copying or improper use of the Confidential Information. The TO Contractor consents to personal jurisdiction in the Maryland State Courts. The State’s rights and remedies hereunder are cumulative and the State expressly reserves any and all rights, remedies, claims and actions that it may have now or in the future to protect the Confidential Information and/or to seek damages from the TO Contractor and the TO Contractor’s Personnel for a failure to comply with the requirements of this Agreement. In the event the State suffers any losses, damages, liabilities, expenses, or costs (including, by way of example only, attorneys’ fees and disbursements) that are attributable, in whole or in part to any failure by the TO Contractor or any of the TO Contractor’s Personnel to comply with the requirements of this Agreement, the TO Contractor shall hold harmless and indemnify the State from and against any such losses, damages, liabilities, expenses, and/or costs.

9. TO Contractor and each of the TO Contractor’s Personnel who receive or have access to any Confidential Information shall execute a copy of an agreement substantially similar to this Agreement and the TO Contractor shall provide originals of such executed Agreements to the State.

10. The parties further agree that:

- This Agreement shall be governed by the laws of the State of Maryland;
- The rights and obligations of the TO Contractor under this Agreement may not be assigned or delegated, by operation of law or otherwise, without the prior written consent of the State;
- The State makes no representations or warranties as to the accuracy or completeness of any Confidential Information;
- The invalidity or unenforceability of any provision of this Agreement shall not affect the validity or enforceability of any other provision of this Agreement;
- Signatures exchanged by facsimile are effective for all purposes hereunder to the same extent as original signatures; and
- The Recitals are not merely prefatory but are an integral part hereof.

TO Contractor/TO Contractor’s Personnel:  MDOT

Name: __________________________  Name: _____________________________
Title: ___________________________  Title: _______________________________
Date: ___________________________  Date: ______________________________

11
EXHIBIT A – FOR THE NONDISCLOSURE AGREEMENT (TO CONTRACTOR)

TO CONTRACTOR’S EMPLOYEES AND AGENTS WHO WILL BE GIVEN ACCESS TO
THE CONFIDENTIAL INFORMATION

<table>
<thead>
<tr>
<th>Printed Name and Address of Employee or Agent</th>
<th>Signature</th>
<th>Date</th>
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ATTACHMENT 5 - LIVING WAGE AFFIDAVIT OF AGREEMENT

Contract No. _______________________________________________________________
Name of Contractor _________________________________________________________
Address ___________________________________________________________________
City ____________________________ State __________ Zip Code _______________

If the Contract is Exempt from the Living Wage Law
The Undersigned, being an authorized representative of the above named Contractor, hereby affirms that the Contract is exempt from Maryland’s Living Wage Law for the following reasons: (check all that apply)

__ Bidder/Offeror is a nonprofit organization
__ Bidder/Offeror is a public service company
__ Bidder/Offeror employs 10 or fewer employees and the proposed contract value is less than $500,000
__ Bidder/Offeror employs more than 10 employees and the proposed contract value is less than $100,000

If the Contract is a Living Wage Contract
A. The Undersigned, being an authorized representative of the above named Contractor, hereby affirms our commitment to comply with Title 18, State Finance and Procurement Article, Annotated Code of Maryland and, if required, to submit all payroll reports to the Commissioner of Labor and Industry with regard to the above stated contract. The Bidder/Offeror agrees to pay covered employees who are subject to living wage at least the living wage rate in effect at the time service is provided for hours spent on State contract activities, and to ensure that its Subcontractors who are not exempt also pay the required living wage rate to their covered employees who are subject to the living wage for hours spent on a State contract for services. The Contractor agrees to comply with, and ensure its Subcontractors comply with, the rate requirements during the initial term of the contract and all subsequent renewal periods, including any increases in the wage rate established by the Commissioner of Labor and Industry, automatically upon the effective date of the revised wage rate.

B. _____________________(initial here if applicable) The Bidder/Offeror affirms it has no covered employees for the following reasons (check all that apply):

__ All employee(s) proposed to work on the State contract will spend less than one-half of the employee’s time during every work week on the State contract;
__ All employee(s) proposed to work on the State contract will be 17 years of age or younger during the duration of the State contract; or
__ All employee(s) proposed to work on the State contract will work less than 13 consecutive weeks on the State contract.

The Commissioner of Labor and Industry reserves the right to request payroll records and other data that the Commissioner deems sufficient to confirm these affirmations at any time.

Name of Authorized Representative: ___________________________________________
Signature of Authorized Representative ___________________________________________
Date: _____________ Title: ___________________________________________________

Witness Name (Typed or Printed): _____________________________________________
Witness Signature and Date: ____________________________
This affidavit must be included with the bid/proposal. If the bidder/offeror fails to accurately complete and submit this affidavit as required, the bid shall be deemed not responsive or the proposal not susceptible of being selected for award.

In connection with the bid/proposal submitted in response to Solicitation No. , I affirm the following:

1. **MBE Participation (PLEASE CHECK ONLY ONE)**

   - [ ] I have met the overall certified Minority Business Enterprise (MBE) participation goal of percent ( )% and the following subgoals, if applicable:
     - percent ( )% for African American-owned MBE firms
     - percent ( )% for Hispanic American-owned MBE firms
     - percent ( )% for Asian American-owned MBE firms
     - percent ( )% for Women-owned MBE firms
   
   I agree that these percentages of the total dollar amount of the Contract, for the MBE goal and subgoals (if any), will be performed by certified MBE firms as set forth in the MBE Participation Schedule - Part 2 of the MDOT MBE Form B (State-Funded Contracts).

   - [ ] I conclude that I am unable to achieve the MBE participation goal and/or subgoals. I hereby request a waiver, in whole or in part, of the overall goal and/or subgoals. Within 10 business days of receiving notice that our firm is the apparent awardee or as requested by the Procurement Officer, I will submit a written waiver request and all required documentation in accordance with COMAR 21.11.03.11. For a partial waiver request, I agree that certified MBE firms will be used to accomplish the percentages of the total dollar amount of the Contract, for the MBE goal and subgoals (if any), as set forth in the MBE Participation Schedule - Part 2 of the MDOT MBE Form B (State-Funded Contracts).

2. **Additional MBE Documentation**

   I understand that if I am notified that I am the apparent awardee or as requested by the Procurement Officer, I must submit the following documentation within 10 business days of receiving such notice:
   (a) Outreach Efforts Compliance Statement (MDOT MBE Form C - State-Funded Contracts);
   (b) Subcontractor Project Participation Statement (MDOT MBE Form D - State-Funded Contracts);
   (c) If waiver requested, MBE Waiver Request Documentation and Forms (MDOT MBE/DBE Form E – Good Faith Efforts Guidance and Documentation) per COMAR 21.11.03.11; and
   (d) Any other documentation required by the Procurement Officer to ascertain bidder’s responsibility/offeror’s susceptibility of being selected for award in connection with the certified MBE participation goal and subgoals, if any.

   I acknowledge that if I fail to return each completed document (in 2 (a) through (d)) within the required time, the Procurement Officer may determine that I am not responsible and therefore not eligible for contract award or that the proposal is not susceptible of being selected for award.
3. **Information Provided to MBE firms**

In the solicitation of subcontract quotations or offers, MBE firms were provided not less than the same information and amount of time to respond as were non-MBE firms.

4. **Products and Services Provided by MBE firms**

I hereby affirm that the MBEs are only providing those products and services for which they are MDOT certified.

I solemnly affirm under the penalties of perjury that the information in this affidavit is true to the best of my knowledge, information and belief.

_________________________   ________________________  
Company Name     Signature of Representative

_________________________   ________________________  
Address     Printed Name and Title

_________________________   ________________________  
City, State and Zip Code   Date
PLEASE READ BEFORE COMPLETING THIS FORM

1. Please refer to the Maryland Department of Transportation (MDOT) MBE Directory at www.mdot.state.md.us to determine if a firm is certified for the appropriate North American Industry Classification System (“NAICS”) Code and the product/services description (specific product that a firm is certified to provide or specific areas of work that a firm is certified to perform). For more general information about NAICS, please visit www.naics.com. Only those specific products and/or services for which a prime or subcontractor is a certified MBE in the MDOT Directory can be used for purposes of achieving the MBE participation goals.

2. In order to be counted for purposes of achieving the MBE participation goals, the MBE firm (whether a prime or subcontractor) must be certified for that specific NAICS Code (“MBE” for State-funded projects designation after NAICS Code). WARNING: If the firm’s NAICS Code is in graduated status, such services/products will not be counted for purposes of achieving the MBE participation goals. Graduated status is clearly identified in the MDOT Directory (such graduated codes are designated with the word graduated after the appropriate NAICS Code).

3. Examining the NAICS Code is the first step in determining whether an MBE firm is certified and eligible to receive MBE participation credit for the specific products/services to be supplied or performed under the contract. The second step is to determine whether a firm’s Products/Services Description in the MBE Directory includes the products to be supplied and/or services to be performed that are being used to achieve the MBE participation goals. If you have any questions as to whether a firm is certified to perform the specific services or provide specific products, please contact MDOT’s Office of Minority Business Enterprise at 1-800-544-6056 or via email at mbe@mdot.state.md.us.

4. Complete the Part 2 – MBE Participation Schedule for all certified MBE firms (including primes and subcontractors) being used to achieve the MBE participation goal and subgoals, if any.

5. MBE Prime Self-Performance. When a certified MBE firm participates as a prime (independently or as part of a joint venture) on a contract, a procurement agency may count the distinct, clearly defined portion of the work of the contract that the certified MBE firm performs with its own forces toward fulfilling up to fifty-percent (50%) of the MBE participation goal (overall) and up to one hundred percent (100%) of not more than one of the MBE participation subgoals, if any, established for the contract. In order to receive credit for self-performance, an MBE prime must be (a) a certified MBE (see 1-3 above) and (b) listed in the Part 2 – MBE Participation Schedule with its certification number, the certification classification under which it will self-perform, and the percentage of the contract that can be counted as MBE self-performance. For the remaining portion of the overall goal and any subgoals, the MBE prime must also list, in the Part 2 – MBE Participation Schedule, other certified MBE firms used to meet those goals or, after making good faith efforts to obtain the participation of additional MBE firms, request a waiver. Note: A dually-certified MBE firm can use its own forces toward fulfilling ONLY ONE of the MBE subgoals for which it can be counted.

6. The Contractor’s subcontractors are considered second-tier subcontractors. Third-tier contracting used to meet an MBE goal is to be considered the exception and not the rule. The following two conditions must be met before MDOT, its Modal Administrations and the Maryland Transportation Authority may approve a third-tier contracting agreement: (a) the bidder/offeror must request in writing approval of each third-tier contract arrangement, and (b) the request must contain specifics as to why a third-tier contracting arrangement should be
7. For each MBE firm that is being used as a supplier/wholesaler/regular dealer/broker/manufacturer, please follow these instructions for calculating the amount of the subcontract for purposes of achieving the MBE participation goals:

A. Is the firm certified as a broker of the products/supplies? If the answer is YES, please continue to Item C. If the answer is NO, please continue to Item B.

B. Is the firm certified as a supplier, wholesaler, regular dealer, or manufacturer of such products/supplies? If the answer is YES, continue to Item D. If the answer is NO, continue to Item C only if the MBE firm is certified to perform trucking/hauling services under NAICS Codes 484110, 484121, 484122, 484210, 484220 and 484230. If the answer is NO and the firm is not certified under these NAICS Codes, then no MBE participation credit will be given for the supply of these products.

C. For purposes of achieving the MBE participation goal, you may count only the amount of any reasonable fee that the MBE firm will receive for the provision of such products/supplies - not the total subcontract amount or the value (or a percentage thereof) of such products and/or supplies. For Column 3 of the MBE Participation Schedule, please divide the amount of any reasonable fee that the MBE firm will receive for the provision of such products/services by the total Contract value and insert the percentage in Line 3.1.

D. Is the firm certified as a manufacturer (refer to the firm’s NAICS Code and specific description of products/services) of the products/supplies to be provided? If the answer is NO, please continue to Item E. If the answer is YES, for purposes of achieving the MBE participation goal, you may count the total amount of the subcontract. For Column 3 of the MBE Participation Schedule, please divide the total amount of the subcontract by the total Contract value and insert the percentage in Line 3.1.

E. Is the firm certified as a supplier, wholesaler and/or regular dealer? If the answer is YES and the MBE firm is furnishing and installing the materials and is certified to perform these services, please divide the total subcontract amount (including full value of supplies) by the total Contract value and insert the percentage in Line 3.1. If the answer is YES and the MBE firm is only being used as a supplier, wholesaler and/or regular dealer or is not certified to install the supplies/materials, for purposes of achieving the MBE participation goal, you may only count sixty percent (60%) of the value of the subcontract for these supplies/products (60% Rule). To apply the 60% Rule, first divide the amount of the subcontract for these supplies/products only (not installation) by the total Contract value. Then, multiply the result by sixty percent (60%) and insert the percentage in Line 3.2.

8. For each MBE firm that is not being used as a supplier/wholesaler/regular dealer/broker/manufacturer, to calculate the amount of the subcontract for purposes of achieving the MBE participation goals, divide the total amount of the subcontract by the total Contract value and insert the percentage in Line 3.1.

Example: $ 2,500 (Total Subcontract Amount) ÷ $10,000 (Total Contract Value) x 100 = 25%

9. WARNING: The percentage of MBE participation, computed using the percentage amounts determined per Column 3 for all of the MBE firms listed in Part 2, MUST at least equal the MBE participation goal and subgoals (if applicable) as set forth in MDOT MBE Form A - State-Funded Contracts for this solicitation. If a bidder/offor is unable to achieve the MBE participation goal and/or any subgoals (if applicable), then the bidder/offor must request a waiver in Form A or the bid will be deemed not responsive, or the proposal not susceptible of being selected for award. You may wish to use the attached Goal/Subgoal Worksheet to assist you in calculating the percentages and confirming that you have met the applicable MBE participation goal and subgoals (if any).
GOAL/SUBGOAL PARTICIPATION WORKSHEET

1. Complete the Part 2 – MBE Participation Schedule for each MBE being used to meet the MBE goal and any subgoals.

2. After completion of the Part 2 – MBE Participation Schedule, you may use the Goal/Subgoal Worksheet to calculate the total MBE participation commitment for the overall goal and any subgoals.

3. **MBE Overall Goal Participation Boxes:** Calculate the total percentage of MBE participation for each MBE classification by adding the percentages determined per Column 3 of the Part 2 – MBE Participation Schedule. Add the percentages determined in Lines 3.1 and 3.2 for the MBE subcontractor (subs) total. Add the overall participation percentages determined in Line 3.3 for the MBE prime total.

4. **MBE Subgoal Participation Boxes:** Calculate the total percentage of MBE participation for each MBE classification by adding the percentages determined per Column 3 of the Part 2 – MBE Participation Schedule. Add the percentages determined in Lines 3.1 and 3.2 for the MBE subcontractor (subs) total. Add the subgoal participation percentages determined in Line 3.3 for the MBE prime total.

5. The percentage amount for the MBE overall participation in the Total MBE Firm Participation Box F1 should be equal to the sum of the percentage amounts in Boxes A through E of the MBE Overall Goal Participation Column of the Worksheet.

6. The percentage amount for the MBE subgoal participation in the Total MBE Firm Participation Box L should be equal to the sum of the percentage amounts in Boxes A through E of the MBE Subgoal Participation Column of the Worksheet.

<table>
<thead>
<tr>
<th>MBE Classification</th>
<th>MBE Overall Goal Participation</th>
<th>MBE Subgoal Participation</th>
</tr>
</thead>
</table>
| (A) Total African American Firm Participation  
(Add percentages determined for African American-Owned  
Firms per Column 3 of MBE Participation Schedule) | _______%subs     | _______%subs           |
| | _______%prime    | _______%prime           |
| (B) Total Hispanic American Firm Participation  
(Add percentages determined for Hispanic American-Owned  
Firms per Column 3 of MBE Participation Schedule) | _______%subs     | _______%subs           |
| | _______%prime    | _______%prime           |
| (C) Total Asian American Firm Participation  
(Add percentages listed for Asian American-Owned  
Firms per Column 3 of MBE Participation Schedule) | _______%subs     | _______%subs           |
| | _______%prime    | _______%prime           |
| (D) Total Women-Owned Firm Participation  
(Add percentages determined for Women-Owned  
Firms per Column 3 of MBE Participation Schedule) | _______%subs     | _______%subs           |
| | _______%prime    | _______%prime           |
| (E) Total for all other MBE Firms  
(Add percentages for firms listed as Other MBE Classification per  
Column 3 of the MBE Participation Schedule) | _______%subs     | _______%subs           |
| | _______%prime    | _______%prime           |
| Total MBE Firm Participation  
(Add total percentages determined for all MBE Firms in each column  
of the Worksheet) | (F1)_______%     | (F2)_______%           |
**ATTACHMENT 6 - MDOT MBE FORM B**
**STATE-FUNDED CONTRACTS**
**PART 2 – MBE PARTICIPATION SCHEDULE**

**Prime Contractor** | **Project Description** | **SOLICITATION NUMBER**
--- | --- | ---

**LIST INFORMATION FOR EACH CERTIFIED MBE PRIME OR MBE SUBCONTRACTOR YOU AGREE TO USE TO ACHIEVE THE MBE PARTICIPATION GOAL AND SUBGOALS, IF ANY. NOTE INSTRUCTIONS IN EACH COLUMN.**

<table>
<thead>
<tr>
<th>COLUMN 1</th>
<th>COLUMN 2</th>
<th>COLUMN 3</th>
<th>Unless the bidder/offeror requested a waiver in MDOT MBE Form A – State Funded Contracts for this solicitation, the cumulative MBE participation for all MBE firms listed herein must equal at least the MBE participation goal and subgoals (if applicable) set forth in Form A.</th>
</tr>
</thead>
<tbody>
<tr>
<td>NAME OF MBE PRIME OR MBE SUBCONTRACTOR AND TIER</td>
<td>CERTIFICATION NO. AND MBE CLASSIFICATION</td>
<td>FOR PURPOSES OF ACHIEVING THE MBE PARTICIPATION GOAL AND SUBGOALS, refer to Sections 5 through 8 in Part 1 - Instructions. State the percentage amount of the products/services in Line 3.1, except for those products or services where the MBE firm is being used as a wholesaler, supplier, or regular dealer. For items of work where the MBE firm is being used as a supplier, wholesaler and/or regular dealer, complete Line 3.2 using the 60% Rule. For items of work where the MBE firm is the prime, complete Line 3.3.</td>
<td></td>
</tr>
<tr>
<td>MBE Name:</td>
<td>Certification Number:</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Check here if MBE firm is a subcontractor and complete in accordance with Sections 6, 7, &amp; 8 of Part 1 - Instructions. If this box is checked, complete 3.1 or 3.2 in Column C, whichever is appropriate.</td>
<td>(If dually certified, check only one box.)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Check here if MBE firm is the prime contractor, including a participant in a joint venture, and self-performance is being counted pursuant to Section 5 of Part 1 - Instructions. If this box is checked, complete 3.3 in Column C.</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Check here if MBE firm is a third-tier contractor (if applicable). Please submit written documents in accordance with Section 6 of Part 1 - Instructions</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

3.1. **TOTAL PERCENTAGE TO BE PAID TO THE SUBCONTRACTOR**
(STATE THIS PERCENTAGE AS A PERCENTAGE OF THE TOTAL CONTRACT VALUE- EXCLUDING PRODUCTS/SERVICES FROM SUPPLIERS, WHOLESALERS OR REGULAR DEALERS).

<table>
<thead>
<tr>
<th>Percentage</th>
<th>(Percentage for purposes of calculating achievement of MBE Participation goal and subgoals, if any)</th>
</tr>
</thead>
<tbody>
<tr>
<td>__________%</td>
<td></td>
</tr>
</tbody>
</table>

3.2 **TOTAL PERCENTAGE TO BE PAID TO THE SUBCONTRACTOR FOR ITEMS OF WORK WHERE THE MBE FIRM IS BEING USED AS A SUPPLIER, WHOLESALER AND/OR REGULAR DEALER**
(STATE THE PERCENTAGE AS A PERCENTAGE OF THE TOTAL CONTRACT VALUE AND THEN APPLY THE 60% RULE PER SECTION 7(E) IN PART 1 - INSTRUCTIONS).

<table>
<thead>
<tr>
<th>Percentage</th>
<th>(Total percentage of Supplies/Products)</th>
</tr>
</thead>
<tbody>
<tr>
<td>__________%</td>
<td>x 60%</td>
</tr>
<tr>
<td>__________%</td>
<td>(60% Rule)</td>
</tr>
</tbody>
</table>

3.3. **TOTAL PERCENTAGE TO BE PAID TO MBE PRIME FOR WORK THAT CAN BE COUNTED AS MBE SELF-PERFORMANCE**
(STATE THIS PERCENTAGE AS A PERCENTAGE OF THE TOTAL CONTRACT VALUE).

<table>
<thead>
<tr>
<th>Percentage</th>
<th>(Total percentage for self-performed items of work in which MBE is certified)</th>
</tr>
</thead>
<tbody>
<tr>
<td>__________%</td>
<td></td>
</tr>
<tr>
<td>(b) __________%</td>
<td>(Insert 50% of MBE overall goal)</td>
</tr>
<tr>
<td>(c) __________%</td>
<td>(Insert subgoal for classification checked in Column 2, if applicable)</td>
</tr>
</tbody>
</table>

Percentages for purposes of calculating achievement of MBE Participation goals:
- **For MBE Overall goal – Use lesser of (a) or (b)**
- **For MBE Subgoal – Use lesser of (a) or (c)**
- **If MBE Prime is supplier, wholesaler and/or regular dealer, apply the 60% rule.**

☐ Check here if Continuation Sheets are attached.
CATS+ Request for Resume (RFR) J01B3400050
Office of Minority Business Enterprises Business Analysis

ATTACHMENT 6 - MDOT MBE FORM B
STATE-FUNDED CONTRACTS
PART 2 – MBE PARTICIPATION SCHEDULE
CONTINUATION SHEET

LIST INFORMATION FOR EACH CERTIFIED MBE PRIME OR MBE SUBCONTRACTOR YOU AGREE TO USE TO ACHIEVE THE MBE PARTICIPATION GOAL AND SUBGOALS, IF ANY. NOTE INSTRUCTIONS IN EACH COLUMN.

<table>
<thead>
<tr>
<th>COLUMN 1</th>
<th>COLUMN 2</th>
<th>COLUMN 3</th>
</tr>
</thead>
<tbody>
<tr>
<td>NAME OF MBE PRIME OR MBE SUBCONTRACTOR AND TIER</td>
<td>CERTIFICATION NO. AND MBE CLASSIFICATION</td>
<td>FOR PURPOSES OF ACHIEVING THE MBE PARTICIPATION GOAL AND SUBGOALS, refer to Sections 5 through 8 in Part 1 - Instructions. State the percentage amount of the products/services in Line 3.1, except for those products or services where the MBE firm is being used as a wholesaler, supplier, or regular dealer. For items of work where the MBE firm is being used as a supplier, wholesaler and/or regular dealer, complete Line 3.2 using the 60% Rule. For items of work where the MBE firm is the prime, complete Line 3.3.</td>
</tr>
</tbody>
</table>

MBE Name: ____________

Certification Number: __________________

☐ Check here if MBE firm is a subcontractor and complete in accordance with Sections 6, 7, & 8 of Part 1 - Instructions. If this box is checked, complete 3.1 or 3.2 in Column C, whichever is appropriate.

☐ Check here if MBE firm is the prime contractor, including a participant in a joint venture, and self-performance is being counted pursuant to Section 5 of Part 1 - Instructions. If this box is checked, complete 3.3 in Column C.

☐ Check here if MBE firm is a third-tier contractor (if applicable). Please submit written documents in accordance with Section 6 of Part 1 - Instructions

3.1. TOTAL PERCENTAGE TO BE PAID TO THE SUBCONTRACTOR (STATE THIS PERCENTAGE AS A PERCENTAGE OF THE TOTAL CONTRACT VALUE EXCLUDING PRODUCTS/SERVICES FROM SUPPLIERS, WHOLESALERS OR REGULAR DEALERS).

☐ African American-Owned
☐ Hispanic American-Owned
☐ Asian American-Owned
☐ Women-Owned
☐ Other MBE Classification

☐ ☐ % (Percentage for purposes of calculating achievement of MBE Participation goal and subgoals, if any)

☐ ☐ % Total percentage of Supplies/Products

☐ ☐ 60% (60% Rule)

☐ ☐ % (Percentage for purposes of calculating achievement of MBE Participation goal and subgoals, if any)

3.2 TOTAL PERCENTAGE TO BE PAID TO THE SUBCONTRACTOR FOR ITEMS OF WORK WHERE THE MBE FIRM IS BEING USED AS A SUPPLIER, WHOLESALER AND/OR REGULAR DEALER (STATE THE PERCENTAGE AS A PERCENTAGE OF THE TOTAL CONTRACT VALUE AND THEN APPLY THE 60% RULE PER SECTION 7(E) IN PART 1 - INSTRUCTIONS).

☐ ☐ % Total percentage of Supplies/Products

☐ ☐ 60% (60% Rule)

☐ ☐ % (Percentage for purposes of calculating achievement of MBE Participation goal and subgoals, if any)

3.3. TOTAL PERCENTAGE TO BE PAID TO MBE PRIME FOR WORK THAT CAN BE COUNTED AS MBE SELF-PERFORMANCE (STATE THIS PERCENTAGE AS A PERCENTAGE OF THE TOTAL CONTRACT VALUE).

(a) ☐ ☐ % Total percentage for self-performed items of work in which MBE is certified

(b) ☐ ☐ % (Insert 50% of MBE overall goal)

(c) ☐ ☐ % (Insert subgoal for classification checked in Column 2, if applicable)

Percentages for purposes of calculating achievement of MBE Participation goals:

● For MBE Overall goal – Use lesser of (a) or (b)

● For MBE Subgoal – Use lesser of (a) or (c)

● If MBE Prime is supplier, wholesaler and/or regular dealer, apply the 60% rule.

☐ Check here if Continuation Sheets are attached.
I hereby affirm that I have reviewed the Products and Services Description (specific product that a firm is certified to provide or areas of work that a firm is certified to perform) set forth in the MDOT MBE Directory for each of the MBE firms listed in Part 2 of this MBE Form B for purposes of achieving the MBE participation goals and subgoals that were identified in the MBE Form A that I submitted with this solicitation, and that the MBE firms listed are only performing those products/services/areas of work for which they are certified. I also hereby affirm that I have read and understand the form instructions set forth in Part 1 of this MBE Form B.

The undersigned Prime Contractor hereby certifies and agrees that they have fully complied with the State Minority Business Enterprise law, State Finance and Procurement Article §14-308(a)(2), Annotated Code of Maryland which provides that, except as otherwise provided by law, a contractor may not identify a certified minority business enterprise in a bid or proposal and:

1. fail to request, receive, or otherwise obtain authorization from the certified minority business enterprise to identify the certified minority business enterprise in its bid or proposal;
2. fail to notify the certified minority business enterprise before execution of the contract of its inclusion of the bid or proposal;
3. fail to use the certified minority business enterprise in the performance of the contract; or
4. pay the certified minority business enterprise solely for the use of its name in the bid or proposal.

I solemnly affirm under the penalties of perjury that the contents of Parts 2 and 3 of MDOT MBE Form B are true to the best of my knowledge, information and belief.

__________________________________  _____________________________________
Company Name      Signature of Representative

__________________________________  _____________________________________
Address      Printed Name and Title

___________________________________  _____________________________________
City, State and Zip Code    Date
In conjunction with the offer/proposal submitted in response to Solicitation No. __________, I state the following:

1. Bidder/Offeror took the following efforts to identify subcontracting opportunities in these specific work categories:

2. Attached to this form are copies of written solicitations (with bidding/proposal instructions) used to solicit certified MBE firms for these subcontract opportunities.

3. Bidder/Offeror made the following attempts to personally contact the solicited MBE firms:

4. Please Check One:

   □ This project does not involve bonding requirements.
   □ Bidder/Offeror assisted MBE firms to fulfill or seek waiver of bonding requirements. (DESCRIBE EFFORTS)

5. Please Check One:

   □ Bidder/Offeror did attend the pre-bid/pre-proposal meeting/conference.
   □ No pre-bid/pre-proposal meeting/conference was held.
   □ Bidder/Offeror did not attend the pre-bid/pre-proposal meeting/conference.

_________________________   ________________________
Company Name     Signature of Representative
_________________________   ________________________
Address     Printed Name and Title
_________________________   ________________________
City, State and Zip Code   Date
ATTACHMENT 6 - MDOT MBE FORM D
MBE SUBCONTRACTOR PROJECT PARTICIPATION AFFIDAVIT

IF THE BIDDER/OFFEROR FAILS TO RETURN THIS AFFIDAVIT WITHIN THE REQUIRED TIME, THE PROCUREMENT OFFICER MAY DETERMINE THAT THE BIDDER/OFFEROR IS NOT RESPONSIBLE AND THEREFORE NOT ELIGIBLE FOR CONTRACT AWARD OR THAT THE PROPOSAL IS NOT SUSCEPTIBLE OF BEING SELECTED FOR AWARD. SUBMIT ONE FORM FOR EACH CERTIFIED MBE FIRM LISTED IN THE MBE PARTICIPATION SCHEDULE. BIDDERS/OFFERORS ARE HIGHLY ENCOURAGED TO SUBMIT FORM D PRIOR TO THE TEN (10) DAY DEADLINE.

Provided that ___________________________ (Prime Contractor’s Name) is awarded the State contract in conjunction with Solicitation No. _______________________, such Prime Contractor will enter into a subcontract with __________________ (Subcontractor’s Name) committing to participation by the MBE firm ___________________ (MBE Name) with MDOT Certification Number _______________ (if subcontractor previously listed is also the MBE firm, please restate name and provide MBE Certification Number) which will receive at least $___________ or ___% (Total Subcontract Amount/ Percentage) for performing the following products/services for the Contract:

<table>
<thead>
<tr>
<th>NAICS CODE</th>
<th>WORK ITEM, SPECIFICATION NUMBER, LINE ITEMS OR WORK CATEGORIES (IF APPLICABLE)</th>
<th>DESCRIPTION OF SPECIFIC PRODUCTS AND/OR SERVICES</th>
</tr>
</thead>
<tbody>
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</table>

I solemnly affirm under the penalties of perjury that the information provided in this MBE Subcontractor Project Participation Affidavit is true to the best of my knowledge, information and belief. I acknowledge that, for purposes of determining the accuracy of the information provided herein, the Procurement Officer may request additional information, including, without limitation, copies of the subcontract agreements and quotes.

<table>
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<th>PRIME CONTRACTOR</th>
<th>SUBCONTRACTOR (SECOND-TIER)</th>
<th>SUBCONTRACTOR (THIRD-TIER)</th>
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IF MBE FIRM IS A THIRD-TIER SUBCONTRACTOR, THIS FORM MUST ALSO BE EXECUTED BY THE SECOND-TIER SUBCONTRACTOR THAT HAS THE SUBCONTRACT AGREEMENT WITH THE MBE FIRM.
ATTACHMENT 7 - TASK ORDER AGREEMENT
CATS+ RFR #J01B3400050 OF MASTER CONTRACT #060B2490023

This Task Order Agreement (“TO Agreement”) is made this ___ day of ________, 2015 by and between __________________________ (TO Contractor) and the STATE OF MARYLAND, Maryland Department of Transportation (MDOT), Office of Minority Business Enterprise (OMBE)

IN CONSIDERATION of the mutual promises and the covenants herein contained and other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, the parties agree as follows:

1. Definitions. In this TO Agreement, the following words have the meanings indicated:
   a) “Agency” means Maryland Department of Transportation, Office of Planning and Capital Programming; as identified in the CATS+ RFR #J01B3400050.
   b) “CATS+ RFR” means the Task Order Request for Proposals #J01B3400050, dated __________, 2015, including any addenda and amendments.
   c) “Master Contract” means the CATS+ Master Contract between the Maryland Department of Information Technology and TO Contractor dated April 22, 2013.
   d) “TO Procurement Officer” means Joseph Palechek. The Agency may change the TO Procurement Officer at any time by written notice.
   e) “TO Agreement” means this signed TO Agreement between MDOT, OMBE and TO Contractor.
   f) “TO Contractor” means the CATS+ Master Contractor awarded this TO Agreement, whose principal business address is ___________________________________________.
   g) “TO Manager” means Brian Martin of the Agency may change the TO Manager at any time by written notice to the TO Contractor.
   h) “TO Technical Proposal” means the TO Contractor’s technical response to the CATS+ RFR dated date of TO Technical Proposal.
   i) “TO Financial Proposal” means the TO Contractor’s financial response to the CATS+ RFR dated date of TO Financial Proposal.
   j) “TO Proposal” collectively refers to the TO Technical Proposal and TO Financial Proposal.

2. Scope of Work

2.1 This TO Agreement incorporates all of the terms and conditions of the Master Contract and shall not in any way amend, conflict with or supersede the Master Contract.

2.2 The TO Contractor shall, in full satisfaction of the specific requirements of this TO Agreement, provide the services set forth in Section 2 of the CATS+ RFR. These services shall be provided in accordance with the Master Contract, this TO Agreement, and the following Exhibits, which are attached and incorporated herein by reference. If there is any conflict among the Master Contract, this TO Agreement, and these Exhibits, the terms of the Master Contract shall govern. If there is any conflict between this TO Agreement and any of these Exhibits, the following order of precedence shall determine the prevailing provision:
   a. The TO Agreement,
   b. Exhibit A – CATS+ RFR
   c. Exhibit B – TO Technical Proposal
2.3 The TO Procurement Officer may, at any time, by written order, make changes in the work within the general scope of the TO Agreement. No other order, statement or conduct of the TO Procurement Officer or any other person shall be treated as a change or entitle the TO Contractor to an equitable adjustment under this Section. Except as otherwise provided in this TO Agreement, if any change under this Section causes an increase or decrease in the TO Contractor’s cost of, or the time required for, the performance of any part of the work, whether or not changed by the order, an equitable adjustment in the TO Agreement price shall be made and the TO Agreement modified in writing accordingly. The TO Contractor must assert in writing its right to an adjustment under this Section within thirty (30) days of receipt of written change order and shall include a written statement setting forth the nature and cost of such claim. No claim by the TO Contractor shall be allowed if asserted after final payment under this TO Agreement. Failure to agree to an adjustment under this Section shall be a dispute under the Disputes clause of the Master Contract. Nothing in this Section shall excuse the TO Contractor from proceeding with the TO Agreement as changed.

3. Time for Performance

Unless terminated earlier as provided in the Master Contract, the TO Contractor shall provide the services described in the TO Proposal and in accordance with the CATS+ RFR on receipt of a Notice to Proceed from the TO Manager. The term of this TO Agreement is for a period of six (6) months, commencing on the date of Notice to Proceed and terminating six (6) months from Notice to Proceed.

4. Consideration and Payment

4.1 The consideration to be paid the TO Contractor shall be done so in accordance with the CATS+ RFR and shall not exceed $___________. Any work performed by the TO Contractor in excess of the not-to-exceed ceiling amount of the TO Agreement without the prior written approval of the TO Manager is at the TO Contractor’s risk of non-payment.

4.2 Payments to the TO Contractor shall be made as outlined Section 2 of the CATS+ RFR, but no later than thirty (30) days after the Agency’s receipt of a proper invoice for services provided by the TO Contractor, acceptance by the Agency of services provided by the TO Contractor, and pursuant to the conditions outlined in Section 4 of this Agreement.

4.3 Each invoice for services rendered must include the TO Contractor’s Federal Tax Identification Number which is ______________. Charges for late payment of invoices other than as prescribed by Title 15, Subtitle 1, of the State Finance and Procurement Article, Annotated Code of Maryland, as from time-to-time amended, are prohibited. Invoices must be submitted to the Agency TO Manager unless otherwise specified herein.

4.4 In addition to any other available remedies, if, in the opinion of the TO Procurement Officer, the TO Contractor fails to perform in a satisfactory and timely manner, the TO Procurement Officer may refuse or limit approval of any invoice for payment, and may cause payments to the TO Contractor to be reduced or withheld until such time as the TO Contractor meets performance standards as established by the TO Procurement Officer.
IN WITNESS THEREOF, the parties have executed this TO Agreement as of the date hereinabove set forth.

TO Contractor Name

By: Type or Print TO Contractor POC

Witness: _______________________

STATE OF MARYLAND, MDOT, OMBE

By: Michael F. Haifley, Acting Director

TSO, Office of Procurement

Witness: _______________________

Date
AUTHORIZED REPRESENTATIVE

I HEREBY AFFIRM THAT:

I am the _________(Title)________________ and the duly authorized representative of ___(Master Contractor)_______ and that I possess the legal authority to make this Affidavit on behalf of myself and the business for which I am acting.

I hereby affirm that ____(Master Contractor)________ has complied with Section 2.4, Security Requirements of the Department of Information Technology’s Consulting Technical Services Master Contract Number 060B2490023 (CATS+).

I hereby affirm that the ____(Master Contractor)________ has provided MDOT with a summary of the security clearance results for all of the candidates that will be working on Task Order J01B3400050 - Office of Minority Business Enterprises Business Analysis and all of these candidates have successfully passed all of the background checks required under Section 2.4.3.2 of the CATS + Master Contract. Master Contractors hereby agrees to provide security clearance results for any additional candidates at least seven (7) days prior to the date the candidate commences work on this Task Order.

I DO SOLEMNLY DECLARE AND AFFIRM UNDER THE PENALTIES OF PERJURY THAT THE CONTENTS OF THIS AFFIDAVIT ARE TRUE AND CORRECT TO THE BEST OF MY KNOWLEDGE, INFORMATION, AND BELIEF.

___________________________________________
Master Contractor

___________________________________________
Typed Name

___________________________________________
Signature

___________________________________________
Date

This affidavit is due prior to commencement of work.
Anyone providing false information to the State of Maryland in connection with obtaining or attempting to obtain a contract under Small Business Reserve or Preference procurement may be subject to the following:

1. A determination by a Procurement Officer that a bidder/offeror is not responsible;
2. A determination that a contract entered into is void or voidable under § 11-204 of the State Finance and Procurement Article of the Annotated Code of Maryland;
3. Suspension and debarment under Title 16 of the State Finance and Procurement Article;
4. Criminal prosecution for procurement fraud (§ 11-205.1 of the State Finance and Procurement Article), perjury, or other crimes; and
5. Other actions permitted by law.

Any Bidder or potential bidder failing to meet the qualifications of a "small business" specified in § 14-501(c) of the State Finance and Procurement Article will be ineligible to participate in a procurement designated for a Small Business Reserve under § 14-504 or Small Business Preference under § 14-206 - 207. Any person or company bidding on Small Business Reserve or Preference procurement and not qualifying as a small business under § 14-501(c) will have its bid or offer rejected on the ground that the bidder is not responsible.

I AFFIRM THAT:

To the best of my knowledge, information, and belief, as of the date of submission of this Bid/Proposal, ______________ (name of firm) meets the qualifications for certification as a Small Business in Maryland. I further affirm that, if for any reason during the term of the contract ______________ (name of firm) no longer meets the qualifications for certification as a Small Business in Maryland, I will notify the Procurement Officer within 30 days. I agree that a failure to so notify the Procurement Officer of this change in circumstances may result in this contract being terminated for default.

I DO SOLEMNLY DECLARE AND AFFIRM UNDER THE PENALTIES OF PERJURY THAT THE CONTENTS OF THIS AFFIDAVIT ARE TRUE AND CORRECT TO THE BEST OF MY KNOWLEDGE, INFORMATION, AND BELIEF.

SMALL BUSINESS QUALIFICATION NUMBER: ______________

Date of Most Recent Qualification: ______________

DATE: ______________

BY: ________________________________
   Signature (Authorized Representative and Affidavit)
NOTICE TO BIDDERS
SMALL BUSINESS RESERVE PROCUREMENT

This is a Small Business Reserve Procurement for which award will be limited to Certified Small Business vendors. Only businesses that meet the statutory requirements set forth in State Finance and Procurement Article, § 14-501 - 14-505, Annotated Code of Maryland, and who are registered with the Department of General Services Small Business Reserve Program are eligible for award of a contract.

For the purposes of a Small Business Reserve Procurement, a small business is a business, other than a broker, that meets the following criteria:

• The business is independently owned and operated;

• The business is not a subsidiary of another business;

• The business is not dominant in its field of operation;

• The wholesale operations of the business did not employ more than 50 persons, and the gross sales of the business did not exceed an average of $4,000,000 in its more recently completed 3 fiscal years;*

• The retail operations of the business did not employ more than 25 persons, and the gross sales of the business did not exceed an average of $3,000,000 in its most recently completed 3 fiscal years;*

• The manufacturing operations of the business did not employ more than 100 persons, and the gross sales of the business did not exceed an average of $2,000,000 in its most recently completed 3 fiscal years;*

• The service operations of the business did not employ more than 100 persons, and the gross sales of the business did not exceed an average of $10,000,000 in its more recently completed 3 fiscal years;* and

• The construction operations of the business did not employ more than 50 persons, and the gross sales of the business did not exceed an average of $7,000,000 in its most recently completed 3 fiscal years.*

• The architectural and engineering operations of the business did not employ more than 100 persons, and the gross sales of the business did not exceed an average of $4,500,000 in its most recently completed 3 fiscal years*.

* If a business has not existed for three years, the gross sales average is computed for the period of the business's existence. For newly formed businesses the determination will be based upon employment levels and projected gross sales.

Further information on the certification process is available at www.dgs.state.md.us and click on the Small Business Reserve hyperlink.